

Notice of References Cited	Application/Control No. 09/615,025	Applicant(s)/Patent Under Reexamination KLEIN ET AL.	
	Examiner Yogesh C Garg	Art Unit 3625	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-2002/0138386 A1	09-2002	Maggioncalda et al.	705/36
	B	US-5,761,442	06-1998	Barr et al.	705/36
	C	US-5,812,988	09-1998	Sandretto, Michael J.	705/36
	D	US-6,018,722	01-2000	Ray et al.	705/36
	E	US-6,021,397	02-2000	Jones et al.	705/36
	F	US-6,078,904	06-2000	Rebane, George J.	705/36
	G	US-6,125,355	09-2000	Bekaert et al.	705/36
	H	US-6,473,084	10-2002	Phillips et al.	345/440
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)			
	U	"Regression analysis"; " Barrons Dictionary of Finance and Investment"; copyright, 1995 by Barron's Educational Series, Inc., Hauppauge, NY, pages 470-471,			
	V	Hendricks, Darryl; " Evaluation of Value-at-Risk Models Using Historical Data ", FRBNY Economic Policy Review /April 1996,			
	W				
	X				

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.